

1c784 U.S. TO  
01/09/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSISTANT COMMISSIONER FOR PATENTS  
Box Patent Application  
Washington, D. C. 20231

Transmitted herewith for filing under 37 CFR 1.53(b) are the specification and claims of the nonprovisional patent application of:

Yuki NAKAMURA and Masaki KATOH for  
Inventor(s)

PHASE CHANGE OPTICAL RECORDING MEDIUM  
Title of Invention

APPLICATION ELEMENTS ENCLOSED:

1. X Specification (total pages 64 including:
  - a. 11 pages of claims (48 claims)
  - b. 1 page(s) Abstract
2. X 8 sheets of      informal X formal drawings (Figs. 1-11)
3.      Oath or declaration of Applicant(s) (3 total pages)
  - a.      Newly executed (original)
  - b. X Unexecuted
  - c.      Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Item 14 completed)
4.      Deletion of Inventor(s)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
5.      Incorporation By Reference  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied in item 3b is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.      Microfiche Computer Program (Appendix)

APPLICATION PARTS ENCLOSED:

7.      An assignment (cover sheet & document(s))
8.      37 CFR 3.73(b) Statement (when there is an assignee)
9. X Power of Attorney
  - a.      Newly executed (original)
  - b. X Unexecuted
  - c.      Copy from a prior application

10044490.010902

10. \_\_\_ Information Disclosure Statement (IDS)/PTO-1449

\_\_\_ Copies of IDS Citations

11. \_\_\_ A Preliminary Amendment

12. X Return Receipt Postcard (MPEP 503)

13. \_\_\_ Applicant(s) hereby establish small entity status under 37 C.F.R. §1.9 and §1.27

14. \_\_\_ If a Continuing Application, *check the appropriate box and supply the requisite information:*

☐ Continuation of prior application No.: \_\_\_\_\_ filed \_\_\_\_\_

☐ Divisional of prior application No.: \_\_\_\_\_ filed \_\_\_\_\_

☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_ filed \_\_\_\_\_

15. \_\_\_ Amend the specification by inserting before the first line of page one: --This is a \_\_\_ continuation  
\_\_\_ divisional of application Serial No. \_\_\_\_\_ filed \_\_\_\_\_--

16. X Priority of application Nos. 2001-002258, 2001-005734 and 2001-057392 filed in Japan on  
01/10/01, 01/12/01 and 03/01/01. Applicants hereby claim priority under 35 U.S.C. § 119.

X A certified copy of priority application Nos. 2001-002258, 2001-005734 and 2001-057392  
are enclosed

\_\_\_ A certified copy of priority application No. \_\_\_\_\_ has been filed in prior application  
S.N. \_\_\_\_\_ filed \_\_\_\_\_

17. \_\_\_ Other (identify) \_\_\_\_\_

The filing fee is calculated as follows:


CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

					Rate			Fee	
	Number Filed		Number Extra*		Small Entity	Other Entity		Small Entity	Other Entity
Total Claims	48 - 20	=	28	x	\$ 9	\$ 18	=	\$ 0	\$ 504
Indep. Claims	6 - 3	=	3	x	\$ 42	\$ 84	=	\$ 0	\$ 252
Multiple Dependent Claims Presented: Yes ___ No <u>X</u>					\$140	\$280	=	\$ 0	\$
If the difference in column 1 is less than zero, enter "0" in column 2					Basic Fee			\$ 370	\$ 740
					Total Fee			\$	\$ 1,496

206010-0544007

18. \_\_\_ A check in the amount of \$ \_\_\_\_\_ to cover the filing fee.
19. \_\_\_ A check in the amount of \$ \_\_\_\_\_ for recordation of the Assignment.
20. X The Commissioner is hereby authorized to charge the filing fee of \$1,496.00 and any additional fees which may be required in connection with the following to Deposit Account No. 03-3125.
- X Filing fees under 37 C.F.R. § 1.16.
- X Patent application processing fees under 37 C.F.R. §1.17.
- \_\_\_ The issue fee set in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).
21. X Three copies of this sheet are enclosed.
22. \_\_\_ Other (identify) \_\_\_\_\_

Respectfully submitted,

  
Richard F. Jaworski, Reg. No. 33,515  
Attorney for Applicants  
c/o Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York, New York 10036  
Tel.: (212) 278-0400